

02-08-01

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Attorney's Docket No. 2000FR302

☐ Duplicate  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

02/07/01  
1c821 U.S. PTO

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

11033 U.S. PTO  
09/778353  
02/07/01

Sir:

Transmitted for filing on February 7, 2001 is the patent application of

Inventors: **Didier WILHELM**  
**Florence ESMARD**

For: **NEW PHENOLIC COMPOUNDS DERIVED FROM  
DIALKOXYETHANALS, THEIR PREPARATION  
PROCESS AND THEIR APPLICATION**

1. This new application is for a(n)
  - ☒ Original (non-provisional)
  - ☐ Divisional
  - ☐ Continuation
  - ☐ Continuation-in-Part
2. Papers enclosed that are required for filing date under 37 CFR 1.53(b) (Regular)
  - 25 Pages of Specification and Claims (15 in number)
  - 1 Page of Abstract
  - 1 sheet of drawing
3. Additional papers enclosed:
  - ☐ Preliminary Amendment
  - ☐ Information Disclosure Statement
  - ☐ Form PTO-1449
  - ☐ References Cited
4. Declaration or Oath:
  - ☒ Enclosed - EXECUTED BY INVENTORS
  - ☐ Not Enclosed

5. An assignment of the invention to Clariant (France) S.A. is enclosed. A separate "Assignment Recordation Form Cover Sheet" is also enclosed.
6. Certified copy of application from which priority is claimed is attached:

Country	Application No.	Filed
France	00-01483	February 7, 2000

7. Fee calculation

<b><u>CLAIMS AS FILED</u></b>				
<b>Basic Filing Fee</b>				<b>\$ 710.00</b>
<b>Number Filed</b>	<b>Number Extra</b>		<b>Rate</b>	
Total Claims: 15	Minus 20	=21	X \$18.00	- 0 -
Independent Claims: 2	Minus 3	= 0	X \$78.00	- 0 -
Multiple Dependent Claims (if any)			+\$260.00	- 0 -
<b>TOTAL FEE DUE</b>				<b>\$710.00</b>

- ☐ Amendment canceling extra claims is enclosed.
- ☐ Amendment deleting multiple-dependencies is enclosed.
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$710.00

8. Fee Payment Being Made at this Time

- ☐ Not enclosed -- No filing fee is to be paid at this time.
- ☒ Enclosed
- ☒ Basic Filing Fee \$710.00
- ☒ Assignment Recordation Fee 40.00

**Total Fees Enclosed \$750.00**

9. Method of Payment

- ☒ The total filing fee calculated above is authorized to be charged to Deposit Account No. 03-2060 for which purpose a duplicate copy of this cover sheet is attached.

10. Authorization to charge additional fees/instructions as to overpayment

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (application filing fees) or 37 CFR 1.17 (application processing fees) during the prosecution of this application, including any related application claiming benefit hereof pursuant to 35 USC §120 (e.g., continuations, divisionals and/or CIPs under 37 CFR §1.53(b) and/or continuations, divisionals and/or CIPs under 37 CFR §1.53(b)) to maintain pendency hereof of any such related application.

Respectfully submitted,



Scott E. Hanf, Registration No. 38,906

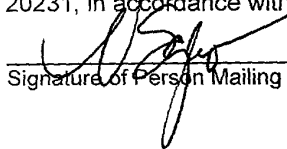
(CUSTOMER NUMBER 25,255)

Clariant Corporation  
Industrial Property Department  
4331 Chesapeake Drive  
Charlotte, North Carolina 28216  
Telephone: 704/395-6712  
Facsimile: 704/395-6727

**CERTIFICATION UNDER 37 CFR 1.10**

Express Mail Mailing Label Number: EK573465940US  
Date of Mailing: February 7, 2001

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, **Box Patent Application**, Washington, D.C. 20231, in accordance with 37 CFR 1.10.

  
\_\_\_\_\_  
Signature of Person Mailing the Application

Vicki L. Sgro  
\_\_\_\_\_  
Typed Name of Person Mailing the Application

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Attorney's Docket No. 2000FR302

02/07/01  
jc821 U.S. PTO

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I hereby certify that on the date indicated above, this NEW UTILITY PATENT APPLICATION TRANSMITTAL and the papers indicated as enclosed therein, of:

Inventors: **Didier WILHELM  
Florence ESMARD**

For: **NEW PHENOLIC COMPOUNDS DERIVED FROM  
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\_\_\_\_\_  
Vicki L. Sgro

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. patent of :  
Didier WILHELM, et al. :  
Serial No. To be Assigned :  
Filed: February 7, 2001 :  
Title: NEW PHENOLIC COMPOUNDS DERIVED FROM  
DIALKOXYETHANALS, THEIR PREPARATION  
PROCESS AND THEIR APPLICATION

TRANSMITTAL OF CERTIFIED COPY

Commissioner for Patents  
And Trademarks  
Washington, DC 20231

Dear Sir:

In accordance with 35 U.S.C. 119 and the International Convention, the priority and benefit of the filing date of the following foreign patent application mentioned in the declaration of this application is hereby claimed:

Country:	France
Application No.	00-01483
Filing Date:	February 7, 2000

A certified copy of the above-mentioned patent application is attached.

Respectfully submitted,

  
\_\_\_\_\_  
Scott E. Hanf, Registration No. 38,906

Clariant Corporation  
Industrial Property Department  
4331 Chesapeake Drive  
Charlotte, North Carolina 28216  
Telephone: 704/395-6712  
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February 7, 2001